

MAR 1 2002

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

4430
3/14/02

In re application of: Kevin Barry Ray
Anthony Paul Kitson
Serial No.: 10/016,173
Filed: December 13, 2001
Assignee: Kodak Polychrome Graphics LLC
Title: PROCESS FOR MAKING A TWO LAYER THERMAL NEGATIVE PLATE

Docket: 102250-100
Art Unit: N/A
Examiner: N/A

A 2

Certificate of Mailing

Date of Deposit March 8, 2002

I hereby certify under 37 CFR 1.8(a) that this correspondence (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service as first class mail with sufficient postage on the date indicated above and is addressed to Commissioner for Patents, Washington, DC 20231.

Signed: 

Name: William A. Simons

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, DC 20231

Dear Sir:

Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98, please find enclosed an Information Disclosure Statement, Form PTO-1449 and one copy of each cited reference. It is respectfully requested that the information be expressly considered during the prosecution of this application, and that the reference(s) be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

- ☒ 1. This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

- ☐ 2. This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection or Notice of Allowance.
- ☐ a. Each item of information contained on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1); and no fee is required under 37 C.F.R. §1.17(p).
- ☐ b. No item of information cited on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. §1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(2); and no fee is required under 37 C.F.R. §1.17(p).
- ☐ Please charge Deposit Account No. 23-1665 in the amount of \$180.00 in payment of the fee under 37 C.F.R. §1.17(p). Two additional copies of this Information Disclosure Statement are enclosed.
- ☐ A check in the amount of \$180.00 is attached hereto in payment of the fee under 37 C.F.R. §1.17(p).
- ☐ 3. This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee. It is hereby requested that the Information Disclosure Statement be considered.
- ☐ a. Each item of information contained on Form PTO-1449 filed herewith was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. §1.97(e)(1).

- William A. Simons
Reg. No. 27,096

MAR 12 2002

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Signed: 

Name: William A. Simons

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
U.S. Patent and Trademark Office
Washington, DC 20231

Dear Sir:

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicant wishes to make of record the items on attached PTO Form 1449 which were considered in the preparation of the subject application. Copies of the items are provided in accordance with 37 CFR §1.98.

1. U.S. Patent No. 4,544,267, issued October 1, 1985, assigned to Fuji Photo Film Co., Ltd. Inventors: Yonosuke Takahashi, Hiromichi Tachikawa, Fumiaki Shinozaki and Tomoaki Ikeda.
2. U.S. Patent No. 4,576,901, issued March 18, 1986, assigned to Hoechst Aktiengesellschaft. Inventors: Paul Stahlhofen and Gerhard Mack.

3. U.S. Patent No. 5,705,308, issued January 6, 1998, assigned to Eastman Kodak Company. Inventors: Paul Richard West, Eugene Lynn Sheriff, Jeffrey Allen Gurney, Ralph Scott Schneebeili, Thomas Robert Jordan and Gary Roger Miller.
4. U.S. Patent No. 5,705,322, issued January 6, 1998, assigned to Eastman Kodak Company. Inventors: Paul Richard West, Eugene Lynn Sheriff, Jeffrey Allen Gurney, Ralph Scott Schneebeili, Thomas Robert Jordan and Gary Roger Miller.
5. U.S. Patent No. 5,858,626, issued January 12, 1999, assigned to Kodak Polychrome Graphics. Inventors: Eugene Lynn Sheriff and Ralph Scott Schneebeili.
6. U.S. Patent No. 5,919,601, issued July 6, 1999, assigned to Kodak Polychrome Graphics, LLC. Inventors: My T. Nguyen, Shashikant Saraiya, Frederic E. Mikell, Ken-ichi Shimazu, Peter Pappas, Robert W. Hallman, Ajay Shah, Hans-Joachim Timpe and Celin Savariar-Hauck.
7. European Patent Application No. 0 864 420 A1, published September 16, 1998, assigned to Agfa-Gevaert N.V. Inventors: Marc Van Damme and Joan Vermeersch.
8. European Patent Application No. 0 908 305 A1, published April 14, 1999, assigned to Agfa-Gevaert N.V. Inventors: Geert Deroover, Joan Vermeersch, Marc Van Damme and Pietertje Inventief.
9. European Patent Application No. 0 908 307 A2, published April 14, 1999, assigned to Agfa-Gevaert N.V. Inventors: Marc Van Damme, Joan Vermeersch and Geert Deroover.
10. European Patent Application No. 0 908 779 A1, published April 14, 1999, assigned to Agfa-Gevaert N.V. Inventors: Joan Vermeersch, Marc Van Damme, Guy Hauquier and Eric Verschueren.

